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Algebraic Lie Theory at the  
Newton Institute

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Lie groups II

1) Describe beginning of path:  
(reps. of Lie group over  $\mathbb{R}$ )  $\rightsquigarrow$  algebra

2) Say more about Cartan bijection

(real forms of complex red. grp)  $\longleftrightarrow$  (algebraic automorphisms of order 2 of complex reductive group)

$G =$  real Lie group

unitary representations:  $\pi: G \rightarrow$  unitary operators on a Hilbert space.

continuity:  $G \times V \rightarrow V$  continuous.

$\uparrow$   
Hilbert space: metric space from norm

$\pi$  is called irreducible if has exactly two invariant closed invariant subspaces:  $0, V$ .

eg-  $G = SL(2, \mathbb{R}) = 2 \times 2$  real matrices w/ det 1.  
 $X = \mathbb{R}P^1 =$  lines in  $\mathbb{R}^2$  through origin  
 $\simeq$  unit circle in  $\mathbb{R}^2 / \pm 1$

Hilbert space:  $V =$  square integrable half-densities on  $X$   
 $\sim$  functions  $f(\theta)$  on circle in

plane s.t.  $f(0) = f(-0)$  and

$$\int_0^{2\pi} |f(\theta)|^2 d\theta < \infty$$

This is in fact an irreducible unitary rep. of  $G$ .

$V$  has lots of invariant subspaces.

- bounded functions  $f$
  - smooth functions  $f$
  - real analytic  $f$
- } all dense in  $V$

Haarish-Chandra: how to algebraicize  $V$ :

$$\text{Take } SO(2) \subset SL(2, \mathbb{R})$$



rotations of the circle

$V \supset V^k =$  "k-finite"  $L^2$  half densities on circle

= half densities whose rotational translates span a finite dimensional space

$$= \left\{ \sum_{m=-N}^N a_m e^{im\theta} |d\theta|^{1/2} \mid \begin{array}{l} a_m \in \mathbb{C} \\ a_m = 0 \text{ if } m \text{ odd} \end{array} \right\}$$

$$= \text{span} \{ e^{im\theta} |d\theta|^{1/2} \mid m \text{ even} \}$$

Haarish-Chandra

def (back to general unitary  $\pi$  of Lie group  $G$ )

A vector  $v \in V$  is called smooth if the map  $G \rightarrow V \quad g \mapsto \pi(g)v$  is  $C^\infty$ .

$V^\infty =$  def space of smooth vectors in  $V$

(Garding)  $V^\infty$  is dense in  $V$ ;  $V^\infty$  carries a natural complex representation of  $\mathfrak{g} = \text{Lie}(G)$

(Hilbert space is a complex vector space)

Thm (first for  $SL(2)$  example)  $V^K \subset V^\infty$  is a  $\mathfrak{g}$ -invariant

-ant subspace for Lie algebra representation; get representations of

- Lie algebra  $\mathfrak{g}$
- compact group  $K$

on  $V^K$

They are jointly irreducible (no proper subspace invariant for both) iff if  $G$  is connected; rep. is irred. for  $\mathfrak{g}$ .

Generalization:  $G$  real reductive (eg. real pts of complex reductive group)  
Fix a maximal compact subgroup, algebraic, defined over  $\mathbb{R}$   $K \subset G$  (unique upto conjugation in  $G$ )

$V$  irred. unitary  $\rightsquigarrow V^K = K$ -finite vectors  
= vectors belonging to finite dim  $K$ -invariant subspace

Thm is still true in this setting.

$SL(2, \mathbb{R})$  example:  $\mathfrak{g} = 2 \times 2$  trace 0 real matrices

$\mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C} = 2 \times 2$  trace 0 complex matrices

complex reps of real Lie algebra of  $\mathfrak{g}$  = complex reps of  $\mathfrak{g}_{\mathbb{C}}$

$\mathfrak{g}_{\mathbb{C}}$  has basis  $h, e, f$   $h = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$

$e = \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix}$   $f = \begin{pmatrix} & \\ & \end{pmatrix}$

$h \cdot \underbrace{(e^{im\theta} |d\theta|^{1/2})}_{r_m} = m(e^{im\theta} |d\theta|^{1/2})$

$e \cdot r_m = \text{const} \cdot r_{m+2}$ ;  $f \cdot r_m = \text{const} \cdot r_{m-2}$

$G$  real reductive  $\Rightarrow K$  maximal compact  
 $K$  acts on  $\mathfrak{g} = \text{Lie}(G)$  by automorphisms ("Ad")

$\mathcal{M}(\mathfrak{g}, K) = \text{category of } (\mathfrak{g}, K)\text{-modules}$

objects: complex vector space  $X$  w/ rep. of  $K$ ,  
 rep. of  $\mathfrak{g}$  subject to:

- 1) Action of  $K$  locally finite (cont. - invar). any  $x \in X$  generates fin. - to dim. space  $\langle Kx \mid K \in K \rangle$ .

By 1) + fin. dim Lie theory: rep. of  $K$  differentiates  $\leadsto$  rep. of  $\mathfrak{k} = \text{Lie}(K) \subseteq \mathfrak{g}$ .

- 2) Differential of  $K$  action = restriction of  $\mathfrak{g}$  action to  $\mathfrak{k}$

3) if  $Z \in \mathfrak{g}$ ,  $K \in K$ ,  $x \in X$   
 $K(Zx) = (\text{Ad}(K)Z)(e \cdot x)$

functor: unitary inv. rep  $\rightarrow (\mathfrak{g}, K)\text{-modules w/ +ve invariant Hermitian form}$

Going backwards:

Def An invariant Hermitian form on a  $(\mathfrak{g}, K)$ -module  $X$  is

$\langle \cdot, \cdot \rangle: X \times X \rightarrow \mathbb{C}$ , sesquilinear,  $\mathbb{C}$ -linear in 1<sup>st</sup> variable,  $\mathbb{C}$ -conjugate linear in 2<sup>nd</sup> variable:  $\langle x, x' \rangle = \overline{\langle x', x \rangle}$

preserved by action of  $K$ , "differential of preserved" by  $\mathfrak{g}$ :  $\langle Zx, x' \rangle = -\langle x, Zx' \rangle$  for any  $Z \in \mathfrak{g} = \text{Lie}(G)$ ,  $x, x' \in X$

Thm (Harish-Chandra)

This functor gives a bijection

$$\left( \begin{array}{l} \text{irred. unitary reps} \\ \text{of } G \text{ (mod unitary} \\ \text{equivalence)} \end{array} \right) \leftrightarrow \left( \begin{array}{l} \text{irred. } (\mathfrak{g}, K)\text{-mods} \\ \text{w/ +ve invariant} \\ \text{Hermitian form} \end{array} \right)$$

Make  $(\mathfrak{g}, K)$ -modules more algebraic:

- 1) Replace real Lie algebra  $\mathfrak{g}$  by its complexification  $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$ . Nothing changes ( $\mathfrak{g}_{\mathbb{C}}$  has "complex conjugation" - invariance for  $Z \in \mathfrak{g}_{\mathbb{C}}$ :  $\langle Zx, x' \rangle = -\langle x, \bar{Z}x' \rangle$ )  
for  $Z \in \mathfrak{g}_{\mathbb{C}}$

Now have reps. of complex reductive  $\mathfrak{g}_{\mathbb{C}}$ .

Thm  $K$ -any compact Lie group.

$C^{\infty}(K) \supset$  matrix coeffs of finite dim. reps (complex)  $\stackrel{\text{def}}{=} R(K)$

↑  
fin. gen Hopf-subalgebra of  $C^{\infty}(K)$

~~take the~~

$\rightsquigarrow$  complex alg. group  $\text{Spec}[R(K)] \stackrel{\text{def}}{=} K_{\mathbb{C}} \supset K$

Algebraic reps of  $K_{\mathbb{C}} =$  locally finite continuous  
- us reps of  $K$ .

( $K_{\mathbb{C}}$  is  $K$  may be disconnected if  $K$  is  
complex, reductive, algebraic)  
Get all such reps this way.

2) Replace  $K$  by  $K_{\mathbb{C}}$

$(\mathfrak{g}_{\mathbb{C}}, K_{\mathbb{C}})$   
 $\uparrow$  complex red. Lie algebra  
 $\longleftarrow$  complex red. algebraic; acts on  $\mathfrak{g}_{\mathbb{C}}$  by  $\text{Ad}_{\mathbb{C}}$

$\mathfrak{m}(\mathfrak{g}_{\mathbb{C}}, K_{\mathbb{C}}) =$  complex reps of  $\mathfrak{g}_{\mathbb{C}}$   
+ alg. reps of  $K_{\mathbb{C}}$   
+ compatibility

$\mathfrak{m}(\mathfrak{g}, K) = \mathfrak{m}(\mathfrak{g}_{\mathbb{C}}, K_{\mathbb{C}}) \longleftarrow$  study using  
(complex) flag varieties.

Cartan bijection

real forms of  $G(\mathbb{C}) \longleftrightarrow$  alg. auts  $\Theta$  of order 2  
of  $G(\mathbb{C})$

$\updownarrow$

$G(\mathbb{R})$  real reductive

$G(\mathbb{C})^{\Theta}$

"  
 $K(\mathbb{R})_{\mathbb{C}}$

$\cup$   
 $K(\mathbb{R})$  maximal compact